

# Boundaries of Teichmüller spaces and end-invariants for hyperbolic 3-manifolds

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ABSTRACT. We study two boundaries for the Teichmüller space of a surface  $\text{Teich}(S)$  due to Bers and Thurston. Each point in Bers' boundary is a hyperbolic 3-manifold with an associated geodesic lamination on  $S$ , its *end-invariant*, while each point in Thurston's is a *measured* geodesic lamination, up to scale. We show that when  $\dim_{\mathbb{C}}(\text{Teich}(S)) > 1$  the end-invariant is not a continuous map to Thurston's boundary modulo forgetting the measure with the quotient topology. We recover continuity by allowing as limits maximal measurable sub-laminations of Hausdorff limits and enlargements thereof.

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## 1. Introduction

In celebrated boundaries for Teichmüller space due to Bers and Thurston, geodesic laminations arise in natural ways:

- A point  $M$  in Bers' boundary, a hyperbolic 3-manifold, has an associated geodesic lamination  $\mathcal{E}(M)$  that has been *pinched*. The lamination  $\mathcal{E}(M)$  is an invariant of the quasi-isometry class  $[M]$  of  $M$ .
- A point  $[\mu]$  in Thurston's boundary, a measured lamination  $\mu$  up to scale, records the asymptotic stretching of divergent hyperbolic metrics  $X_i \rightarrow [\mu]$ . Its support  $|\mu|$  is a geodesic lamination.

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Thurston's *ending lamination conjecture* predicts that the map  $[M] \mapsto \mathcal{E}(M)$  from quasi-isometry classes in Bers' boundary to the quotient of Thurston's boundary by forgetting the measure is an injection. In other words, if one knows the lamination  $\mathcal{E}(M)$ , one knows the manifold  $M$  up to quasi-isometry. The map  $\mathcal{E}$  gives a bijection between dense subsets: the dense family of *maximal cusps*  $M$  (a maximal family of simple closed curves is pinched in  $M$ ) is mapped by  $\mathcal{E}$  to the dense set of *maximal partitions* of  $S$  by simple closed curves (which are analogous to rational points of  $S^1$ ). Thus, given Thurston's conjecture, it is natural to ask whether  $\mathcal{E}$  is a homeomorphism. Or, as a starting point, how do sequences  $\mathcal{E}(M_n)$  behave under limits  $M_n \rightarrow M$ ?

In this paper we show  $\mathcal{E}$  has the following continuity properties:

- I.  $\mathcal{E}$  is strictly lower-semi-continuous in the quotient topologies,
- II.  $\mathcal{E}$  is continuous in a new *end-invariant topology*, based on the Hausdorff topology, which predicts new information about its limiting values, and
- III.  $\mathcal{E}$  cannot have a continuous inverse in the end-invariant topology, nor do Hausdorff limits completely encode the limiting end-invariant in general.

To state our results more precisely, we review terminology.

Let  $S$  be an oriented surface, closed for simplicity, and let  $Q(X, Y)$  denote the quasi-Fuchsian *Bers simultaneous uniformization* of the pair of surfaces  $(X, Y) \in \text{Teich}(S) \times \text{Teich}(\bar{S})$  (where  $\bar{S}$  is  $S$  with the reverse orientation). Such uniformizations sit in the closed subset  $AH(S)$  of the *representation variety*

$$\mathcal{V}(S) = \text{Hom}(\pi_1(S), \text{PSL}_2(\mathbb{C}))/\text{conjugation}$$

consisting of representations that are discrete and faithful.

The map  $Q: \text{Teich}(S) \times \text{Teich}(\bar{S}) \rightarrow AH(S)$  is a homeomorphism onto its image, the quasi-Fuchsian space  $QF(S) \subset AH(S)$ . Fixing  $Y$  in the second factor gives the *Bers slice*  $B_Y \cong \text{Teich}(S)$  of  $QF(S)$ . Bers proved  $B_Y$  has compact closure in  $AH(S)$ , giving rise to a *Bers compactification*  $\overline{B}_Y$  and a *Bers boundary*  $\partial B_Y$ .

The measured laminations  $\mathcal{ML}(S)$  on  $S$  are a natural completion of the isotopy classes of essential simple closed curves on  $S$  with positive real weights. Projectivizing, one obtains a sphere  $\mathcal{PL}(S) = \mathcal{ML}(S) - \{0\}/\mathbb{R}_+$  of *projective measured laminations* with which Thurston compactifies  $\text{Teich}(S)$ . On any hyperbolic surface  $X$ , each measured lamination  $\mu$  determines a *geodesic lamination*, a closed subset of  $X$  foliated by geodesics, as its *support*  $|\mu|$ .

Representations  $\rho \in AH(S)$  are in bijection with *marked* hyperbolic 3-manifolds  $(f: S \rightarrow M)$  up to homotopy, where  $M = \mathbb{H}^3/\rho(\pi_1(S))$  and  $f_* = \rho$ . Thurston associates an *end-invariant*  $\mathcal{E}(M)$  to each  $M \in \partial B_Y$ , namely, the geodesic lamination consisting of all non-peripheral parabolics and laminations on which any measure has 'length-zero' in  $M$  (see §2). Since any such geodesic lamination is *measurable* (it arises in the quotient of Thurston's boundary by forgetting the measure),  $\mathcal{E}$  gives a mapping

$$\mathcal{E}: \partial B_Y \rightarrow \mathcal{PL}(S)/|\cdot|.$$

The lamination  $\mathcal{E}(M)$  is an invariant of the marked quasi-isometry class  $[M]$  of  $M$ . Letting  $\partial B_Y/\text{qi}$  denote the quotient of  $\partial B_Y$  by marking preserving quasi-isometry,  $\mathcal{E}$  descends to a mapping  $\mathcal{E}: \partial B_Y/\text{qi} \rightarrow \mathcal{PL}(S)/|\cdot|$  which we also denote by  $\mathcal{E}$ .

Our first theorem is the following.

**THEOREM 1.1.** *The mapping  $\mathcal{E}$  is strictly lower-semi-continuous in the quotient topologies on domain and range.*

Here, lower-semi-continuity means:

for  $[M_n] \rightarrow [M]$  any limit  $\mathcal{E}_\infty$  of  $\{\mathcal{E}([M_n])\}$  satisfies  $\mathcal{E}_\infty \subset \mathcal{E}([M])$ .

Strict lower-semi-continuity means there exists  $M_n \rightarrow M$  for which the final containment is proper (see theorem 4.1).

Note that maximal families of pairwise disjoint, essential simple closed curves are dense in  $\mathcal{P}\mathcal{L}(S)/|\cdot|$ . These are the images under  $\mathcal{E}$  of *maximal cusps*: 3-manifolds  $M \in \partial B_Y$  for which the curves in such a maximal family are parabolic. The invariant  $\mathcal{E}(M)$  determines the maximal cusp  $M$  up to isometry. The question of the continuity properties of  $\mathcal{E}$  is then motivated by

**THEOREM 1.2** (McMullen). *Maximal cusps are dense in  $\partial B_Y$ .*

Theorem 1.1 contrasts the behavior of maximal families as measures and as parabolics in the passage to limits.

Before recovering continuity, we give a characterization of the laminations that can arise in the image of  $\mathcal{E}$ . A measurable lamination  $\nu \in \mathcal{P}\mathcal{L}(S)/|\cdot|$  *fills* a compact surface  $S$  if for any essential simple closed curve  $\alpha$  on  $S$  that is not parallel to  $\partial S$ ,  $\alpha$  intersects  $\nu$ . Decompose  $\nu$  into the union  $\nu = P \sqcup E$  of its simple closed curve components  $P$  and its infinite *minimal* components  $E$  for which every leaf is infinite and dense in its component. We say  $\nu$  *relatively fills*  $S$  if any component  $\nu'$  of  $E$  fills the subsurface of  $S - P$  that it meets. Let  $\mathcal{E}\mathcal{L}(S)$  be the quotient of the quotient  $\mathcal{P}\mathcal{L}(S)/|\cdot|$  obtained assigning to  $\nu \in \mathcal{P}\mathcal{L}(S)/|\cdot|$  the lamination  $\hat{\nu} \in \mathcal{P}\mathcal{L}(S)/|\cdot|$  given by adding to  $\nu$  the minimal set of simple closed curves required to obtain a lamination that relatively fills  $S$ .

Compactness theorems for Thurston's *pleated surfaces* show that  $\mathcal{E}$  takes values in  $\mathcal{E}\mathcal{L}(S)$  (§3). Given  $\nu \in \mathcal{E}\mathcal{L}(S)$ , we may use theorem 1.1 to find an  $M \in \partial B_Y$  for which  $\mathcal{E}(M) = \nu$ : pinching  $P$  and families of simple closed curves approximating  $E$  to cusps, we extract a limit  $M$  with  $\mathcal{E}(M) = \nu$ . This gives a new proof<sup>1</sup> of:

**THEOREM 1.3.** *The mapping  $\mathcal{E}$  is a surjection onto  $\mathcal{E}\mathcal{L}(S)$ .*

We introduce a new topology on  $\mathcal{E}\mathcal{L}(S)$ : the *end-invariant topology* is the topology of convergence for which

(\*)  $\nu_n \rightarrow \nu$  if for any subsequence  $\nu_{n_j}$  converging to  $\lambda_H$  in the Hausdorff topology,  $\nu$  contains the maximal measurable sub-lamination  $\eta$  of  $\lambda_H$ .

(The end-invariant topology, like the quotient topologies, is non-Hausdorff). Then we obtain the following strengthening of theorem 1.1 (theorem 5.3):

**THEOREM 1.4.** *The mapping  $\mathcal{E}$  is continuous from the quotient topology on  $\partial B_Y/\text{qi}$  to  $\mathcal{E}\mathcal{L}(S)$  with the end-invariant topology.*

In general, given a convergent sequence  $M_n \rightarrow M$  in  $\partial B_Y$ , the end-invariants  $\mathcal{E}(M_n)$  need not converge in the Hausdorff topology. Theorem 1.4 forces the measurable sub-laminations of any pair Hausdorff limits of  $\mathcal{E}(M_n)$  into alignment.

The main techniques in this paper are developed in [Br1] where we prove a bi-continuity theorem for the *lengths* of measured laminations realized by pleated surfaces in hyperbolic 3-manifolds. The end invariant  $\mathcal{E}(M)$  is the zero-set of this length function when  $M$  is fixed.

These questions relate to the following

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<sup>1</sup>K. Ohshika gave a proof of surjectivity of  $\mathcal{E}$  in [Ohs1] but his proof assumed a special case of the main result of [Br1]. This special case was claimed by Thurston but had not appeared.

CONJECTURE 1.5 (Thurston). *The map  $\mathcal{E}: \partial B_Y/\text{qi} \rightarrow \mathcal{EL}(S)$  is a bijection.*

One may speculate as to whether  $\mathcal{E}$  gives a homeomorphism in any reasonable topology on  $\mathcal{EL}(S)$ . Theorems 1.2 and 1.4 show  $\mathcal{E}$  cannot have a continuous inverse in the end-invariant topology (§7).

**Convergence in a Bers compactification.** The possibility of pinching in the conformal boundary of  $M$  means the end-invariant topology must allow for the constant sequence to enlarge in the limit. We record this extra information by considering maximal families of disjoint simple closed curves on  $\partial M - Y$  whose lengths in  $M$  and on  $Y$  are in small ratio. Indeed, given  $M_n \rightarrow M$  in the Bers compactification  $\overline{B_Y}$  there is a family  $\Pi(M_n)$  of such curves so that  $\mathcal{E}(M_n) \sqcup \Pi(M_n)$  is a geodesic lamination and

$$\lim_{n \rightarrow \infty} \max_{\gamma \in \Pi(M_n)} \frac{\text{length}_{M_n}(\gamma)}{\text{length}_Y(\gamma)} = 0.$$

Then we prove the following (see corollary 6.3):

THEOREM 1.6. *The laminations  $\mathcal{E}(M_n) \sqcup \Pi(M_n)$  converge to  $\mathcal{E}(M)$  in the end-invariant topology.*

In the case when each  $\mathcal{E}(M_n)$  is maximal (a maximal partition, say) it is reasonable to ask whether given the maximal measurable sub-lamination  $\eta$  of the Hausdorff limit  $\lambda_H$  of  $\mathcal{E}(M_n)$ , the lamination  $\widehat{\eta}$  is the full end-invariant  $\mathcal{E}(M)$ . Though the answer is yes in many cases, we conclude this paper with a negative answer to this question in general (see theorem 7.1):

THEOREM 1.7. IMPLICIT CUSPS *Let  $\gamma$  be an essential simple closed curve in  $S$ . Then for any other essential simple closed curve  $\alpha$  in  $S - \gamma$ , there are maximal partitions  $C_n \rightarrow \lambda_H$  in the Hausdorff topology and associated maximal cusps  $M(C_n) \rightarrow M$  in  $\partial B_Y$  for which:*

1.  $\gamma$  is the maximal measurable sub-lamination of  $\lambda_H$ , and
2.  $\alpha$  lies in  $\mathcal{E}(M)$ .

The curve  $\alpha$  is an “implicit cusp” forced by 3-dimensional hyperbolic geometry that, somewhat surprisingly, goes undetected by the Hausdorff topology. The example producing theorem 1.7 reveals a new geometric phenomenon that complicates the relationship between hyperbolic surfaces and the 3-manifolds they parameterize.

**History and references.** The density of maximal cusps in Bers’ boundary is proven by McMullen in [Mc2]. Whether or not appropriate quotients of Bers’ and Thurston’s boundaries are homeomorphic is asked by McMullen in [Mc3]. For informative discussions of the end-invariant see [Mc4] and [Min2].

In general, we allow  $S$  to be compact with nonempty boundary. Indeed, when  $\dim_{\mathbb{C}}(\text{Teich}(S)) = 1$ , Y. Minsky has shown (see [Min3]) that that  $\mathcal{E}$  is a homeomorphism from  $\partial B_Y$  to  $\mathcal{PL}(S)$  (passing to quotients is redundant as the support  $|\mu|$  of any measured lamination  $\mu \in \mathcal{ML}(S)$  admits a unique transverse measure up to scale, and Minsky proves that  $\mathcal{E}(M)$  determines  $M$  up to isometry). Note that in this setting  $\mathcal{E}(M)$  is always connected, while when  $\dim_{\mathbb{C}}(\text{Teich}(S)) > 1$ , the invariant  $\mathcal{E}(M)$  can be disconnected.

Thurston introduces pleated surfaces and lengths of laminations in [Th1], [Th2], and [Th4]. Various versions of Thurston’s length function are discussed

in [Th4], [Bon3] and [Ohs2]; we prove a general bi-continuity theorem (see theorem 2.3) in [Br1] where the key lemmas on nearly-straight train tracks employed in the proof of theorem 1.4 ([Br1, Lem. 5.2, Cor. 5.3]) also appear.

We have chosen to work in the Bers slice to avoid certain technicalities that arise in more general deformation spaces of hyperbolic 3-manifolds. We remark that work of J. Anderson and R. Canary [AC] reveals a different type of possible discontinuity in the analogous end-invariant mapping for general deformation spaces (see [Min3, §12]). We plan to merge these two perspectives in a sequel.

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## 2. Preliminaries

Let  $S$  be an oriented compact topological surface of negative Euler characteristic. We allow  $S$  to have non-empty boundary; let  $\text{int}(S) = S - \partial S$  denote its interior.

**Teich(S).** The *Teichmüller space*  $\text{Teich}(S)$  is the space of finite-area hyperbolic surfaces  $X$  equipped with homeomorphisms  $f: \text{int}(S) \rightarrow X$  such that

$$(f: \text{int}(S) \rightarrow X) \sim (g: \text{int}(S) \rightarrow Y)$$

if there is an isometry  $\phi: X \rightarrow Y$  so that  $\phi \circ f \simeq g$ .

The topology on  $\text{Teich}(S)$  is induced by the natural distance  $d(X, Y)$  obtained by taking the infimum  $K$  over all  $k$  for which there is a  $k$ -bi-Lipschitz diffeomorphism  $\phi$  homotopic to  $g \circ f^{-1}$  and setting  $d(X, Y) = \log(K)$ . The Teichmüller space is homeomorphic to an open ball and carries a natural complex structure of dimension  $\dim_{\mathbb{C}}(\text{Teich}(S)) = 3g - 3 + n$ , where  $S$  has genus  $g$  with  $n$  boundary components.

**AH(S).** Let  $\mathcal{D}(S)$  denote the space of discrete faithful representations  $\rho: \pi_1(S) \rightarrow \text{Isom}^+(\mathbb{H}^3)$  so that  $\rho(\gamma)$  is parabolic for each peripheral element  $\gamma \in \pi_1(S)$  (i.e.  $\gamma$  is boundary-parallel), with the compact-open topology, or the topology of *algebraic convergence*. Let

$$AH(S) = \mathcal{D}(S)/\text{Isom}^+(\mathbb{H}^3)$$

be its quotient by conjugation.

By a theorem of Thurston and Bonahon [Th1, Ch. 9] [Bon1]  $M = \mathbb{H}^3/\rho(\pi_1(S))$  is a complete hyperbolic manifold homeomorphic to  $\text{int}(S) \times \mathbb{R}$ . The complete hyperbolic manifold  $M$  is prolonged to its *Kleinian manifold*  $\overline{M}$  by adding its conformal boundary  $\partial M$ : namely, the quotient of the domain  $\Omega(M) \subset \widehat{\mathbb{C}}$  where  $\rho(\pi_1(S))$  acts properly discontinuously.

The set of hyperbolic 3-manifolds  $M$  marked by homotopy equivalences ( $f: S \rightarrow M$ ) up to marking-preserving isometry is in bijection with conjugacy classes of representations  $\rho \in AH(S)$  via the association  $f \mapsto f_*$ . Thus we will often speak of  $AH(S)$  as a space of marked hyperbolic manifolds and write  $M \in AH(S)$ , assuming an implicit marking homotopy equivalence ( $f: S \rightarrow M$ ).

One may formulate algebraic convergence in this context:  $\{(f_n: S \rightarrow M_n)\}$  converges to ( $f: S \rightarrow M$ ) if for any compact set  $K \subset M$  there are smooth, marking-preserving homotopy equivalences  $q_n: M \rightarrow M_n$  that converge to a local isometry

on  $K$  in the  $C^\infty$  topology (see [Mc5, §3.1]; we refer the reader to [Mc5], [Th1], or [Br2] for details about hyperbolic 3-manifolds and Kleinian groups).

**QF(S).** By a theorem of Bers [Bers1] there is unique *quasi-Fuchsian* manifold  $Q(X, Y) \in AH(S)$  interpolating between any pair of hyperbolic surfaces  $(X, Y) \in \text{Teich}(S) \times \text{Teich}(\bar{S})$  in its conformal boundary. Given  $Y \in \text{Teich}(S)$ , the *Bers slice*

$$B_Y = \{Q(X, Y) : X \in \text{Teich}(S)\}$$

is an embedded copy of  $\text{Teich}(S)$  in  $AH(S)$ . The embedding depends on  $Y$ , but for any  $Y$  the slice  $B_Y$  is precompact in  $AH(S)$ . One obtains a *Bers compactification*  $\bar{B}_Y$  by forming the closure, and an associated *Bers boundary* for Teichmüller space as its boundary  $\partial B_Y$  (see also [KT], [Mc5], or [Bers2]).

**ML(S).** Let  $\mathfrak{S}$  be the set of isotopy classes of essential non-peripheral simple closed curves on  $S$ . The *geometric intersection number*

$$i: \mathfrak{S} \times \mathfrak{S} \rightarrow \mathbb{Z}_{\geq 0}$$

counts the minimal number  $i(\alpha, \beta)$  of intersections of curves in distinct isotopy classes  $(\alpha, \beta)$  in  $\mathfrak{S} \times \mathfrak{S}$  and takes the value zero on the diagonal.

Attaching a positive real weight to each isotopy class, let

$$\iota: \mathbb{R}_+ \times \mathfrak{S} \rightarrow \mathbb{R}^{\mathfrak{S}}$$

be defined by

$$\langle \iota(t\gamma) \rangle_\alpha = ti(\alpha, \gamma).$$

Then we define the *measured laminations*  $\mathcal{ML}(S) = \overline{\iota(\mathbb{R}_+ \times \mathfrak{S})}$  by taking the closure of the image (note that weighted simple closed curves are naturally dense in  $\mathcal{ML}(S)$ ). The intersection number extends to a symmetric continuous function  $i: \mathcal{ML}(S) \times \mathcal{ML}(S) \rightarrow \mathbb{R}_{\geq 0}$  so that  $i(s\alpha, t\beta) = s \cdot t(i(\alpha, \beta))$  for  $\alpha, \beta \in \mathfrak{S}$  and  $s, t \in \mathbb{R}_{\geq 0}$  [Bon1, Prop. 4.5].

The measured lamination space  $\mathcal{ML}(S)$  is a cell of the same real dimension as  $\text{Teich}(S)$ . The *projective measured laminations*  $\mathcal{PL}(S) = \mathcal{ML}(S) - \{0\}/\mathbb{R}_+$  form a sphere of one dimension lower. The sphere  $\mathcal{PL}(S)$  is Thurston's boundary for Teichmüller space - the topology on Thurston's compactification  $\text{Teich}(S) \sqcup \mathcal{PL}(S)$  is determined by the conditions that  $\text{Teich}(S)$  is open in  $\text{Teich}(S) \sqcup \mathcal{PL}(S)$  and  $X_n \rightarrow [\mu] \in \mathcal{PL}(S)$  if and only if

$$\frac{\text{length}_{X_n}(\alpha)}{\text{length}_{X_n}(\beta)} \rightarrow \frac{i(\mu, \alpha)}{i(\mu, \beta)}$$

for any pair  $\alpha$  and  $\beta$  in  $\mathfrak{S}$  for which  $i(\mu, \beta) \neq 0$ . (For more on measured and projective laminations, and Thurston's compactification see [FLP], [Th1], or [Bon2]).

**Subsurfaces.** A *subsurface* is a compact 2-submanifold of  $S$ . An *essential* subsurface  $T \subset S$  is a subsurface so that each curve in  $\partial T$  is homotopically essential. Given an essential subsurface  $T \subset S$ , let  $\mathfrak{S}(T) \subset \mathfrak{S}$  be isotopy classes of simple closed curves in  $\mathfrak{S}$  isotopic into  $T$  that are non-peripheral in  $T$ . Then  $\mathcal{ML}(T)$  is naturally a closed subspace of  $\mathcal{ML}(S)$ .

**GL(S).** Given  $X \in \text{Teich}(S)$ , a *geodesic lamination*  $\lambda$  on  $X$  is a closed subset of  $X$  that admits a decomposition into complete simple geodesics called *leaves* of  $\lambda$ . The set of geodesic laminations  $\mathcal{GL}(X)$  on  $X$  is a compact subspace of the space of closed subsets  $\text{Cl}(X)$  in the Hausdorff topology.

Via a natural *circle at infinity* for  $S$ , geodesic laminations are canonically associated to the surface  $S$  and can be *realized* geodesically on any  $X \in \text{Teich}(S)$  via its implicit marking (see [Bon2], [F1], or [CEG, §4.1]). Thus we will speak of a point  $\lambda \in \mathcal{GL}(S)$ , which determines a geodesic lamination on any particular hyperbolic surface  $X \in \text{Teich}(S)$ . Given  $\lambda \in \mathcal{GL}(S)$ , let  $S(\lambda) \subset S$  be the essential subsurface obtained by realizing  $\lambda$  on  $(f: S \rightarrow X) \in \text{Teich}(S)$  and pulling back by  $f^{-1}$  the smallest subsurface with geodesic boundary containing  $\lambda$ .

A measured lamination  $\mu \in \mathcal{ML}(S)$  determines a *transverse measure* on a geodesic lamination  $|\mu|$ . The geodesic lamination  $|\mu|$  is called the *support* of  $\mu$ . A geodesic lamination  $\nu$  is *measurable* if there is some  $\mu \in \mathcal{ML}(S)$  for which  $\nu = |\mu|$ ;  $\nu$  admits a transverse measure of full support.

Given  $\lambda, \nu \in \mathcal{GL}(S)$ , the notation  $\lambda \subset \nu$  will mean that  $\lambda$  is a sub-lamination of  $\nu$ , while the notation  $\lambda \cap \nu$  will refer to any common sublamination of  $\lambda$  and  $\nu$  together with the set of transverse intersections of leaves of  $\lambda$  and  $\nu$ , well defined on any hyperbolic surface  $X \in \text{Teich}(S)$ .

**Pleated surfaces.** Let  $(f: S \rightarrow M) \in AH(S)$  and let  $\lambda \in \mathcal{GL}(S)$  be a geodesic lamination. We say  $\lambda$  is *realizable* in  $M$  if there is a hyperbolic surface  $X \in \text{Teich}(S)$ , and a *path-isometry*<sup>2</sup>  $g: X \rightarrow M$ , compatible with markings on  $X$  and  $M$ , so that  $g|_\lambda$  is a local isometry. If  $g$  is totally geodesic on the complement of some geodesic lamination  $\lambda'$  containing  $\lambda$ , the triple  $(g, X, M)$  is called a *pleated surface* in  $M$ , and we say the pleated surface *realizes*  $\lambda$ . A measured lamination  $\mu \in \mathcal{ML}(S)$  is *realizable* in  $M$  if its support  $|\mu|$  is realizable. Any realizable lamination can be realized by a pleated surface.

Let  $\mathcal{PS}(f)$  denote the set of all pairs  $(g, X)$ , where  $(\phi: S \rightarrow X) \in \text{Teich}(S)$ , and  $g: X \rightarrow M$  is a pleated surface with  $f \simeq g \circ \phi$ . Let  $\mathcal{PS}_{\text{np}}(f) \subset \mathcal{PS}(f)$  be the subset for which  $f_*(\gamma)$  is parabolic only if  $\gamma$  is a peripheral element of  $\pi_1(S)$ .

We topologize  $\mathcal{PS}(f)$  by the Teichmüller distance on the underlying surfaces and the topology of uniform convergence on compact sets on the pleated mappings. In other words,  $(g_n, X_n) \rightarrow (g, X)$  if there are marking-preserving bi-Lipschitz diffeomorphisms  $q_n: X \rightarrow X_n$  with bi-Lipschitz constant tending to 1 so that the composition  $g_n \circ q_n$  converges uniformly on compact subsets to  $g$ . Then we have the following compactness result due to Thurston (see [CEG, 5.2.18]):

**THEOREM 2.1 (Thurston). PLEATED SURFACES COMPACT** *Let  $(f: S \rightarrow M) \in AH(S)$ , and let  $K \subset M$  be a compact subset. Then the set of all  $(g, X) \in \mathcal{PS}_{\text{np}}(f)$  with the property that  $g(X) \cap K \neq \emptyset$  is compact.*

Also relevant is the following theorem which we restate in a form useful to us.

**THEOREM 2.2 (Thurston). LIMITS REALIZED** *Let  $\{(g_n, X_n)\} \subset \mathcal{PS}_{\text{np}}(f)$  converge to  $(g, X)$  and let  $(g_n, X_n)$  realize convergent measured laminations  $\mu_n \rightarrow \mu$ . Then  $(g, X)$  realizes  $\mu$ .*

(The theorem is a direct consequence of [CEG, 5.3.2]).

**Lengths of laminations.** Given  $X \in \text{Teich}(S)$ , any isotopy class  $\gamma \in \mathcal{S}$  has a well defined *length* by taking the arclength  $\ell_X(\gamma^*)$  of its geodesic representative  $\gamma^*$ . By a theorem of Thurston and Bonahon (see [Th4] [Bon1, Prop. 4.5]) there is a unique continuous function

$$\text{length}: \text{Teich}(S) \times \mathcal{ML}(S) \rightarrow \mathbb{R}$$

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<sup>2</sup>The map  $g$  sends geodesic arcs in  $X$  to rectifiable arcs in  $M$  of the same length.

that restricts to  $\mathbb{R}_+ \times \mathcal{S}$  by

$$\text{length}_X(t\gamma) = t\ell_X(\gamma^*).$$

Let  $\mathfrak{R} \subset AH(S) \times \mathcal{ML}(S)$  denote the set of pairs  $(M, \mu)$  such that  $\mu$  is realizable in  $M$ . We define the *length function*

$$\text{length}: \mathfrak{R} \rightarrow \mathbb{R}$$

by setting  $\text{length}_M(\mu) = \text{length}_X(\mu)$  where  $g: X \rightarrow M$  is any pleated surface realizing  $|\mu|$  (the length in  $M$  does not depend on the realizing pleated surface; see [Th4] [Bon4]).

When  $\mu$  is not realizable in  $M$ , proper sub-laminations may still be realizable. Define the projection map

$$R_M: \mathcal{ML}(S) \rightarrow \mathcal{ML}(S)$$

to be the identity on laminations realizable in  $M$  and to associate to any non-realizable lamination  $\mu$  the maximal sub-lamination  $R_M(\mu)$  of  $\mu$  that is realizable in  $M$ .

Then we have the following from [Br1]:

**THEOREM 2.3. LENGTH CONTINUOUS** *The function*

$$\underline{\text{length}}: AH(S) \times \mathcal{ML}(S) \rightarrow \mathbb{R}$$

*given by  $(M, \mu) \rightarrow \text{length}_M(R_M(\mu))$  is continuous.*

In particular, we have the following corollary:

**COROLLARY 2.4.** *Let pairs  $\{(M_n, \mu_n)\}$  converge to  $(M, \mu)$  in  $AH(S) \times \mathcal{ML}(S)$  so that  $\underline{\text{length}}_{M_n}(\mu_n) \rightarrow 0$ . Then  $R_M(\mu) = 0$ .*

In other words, if  $\mu$  lies in  $\mathcal{ML}(S)_+$ , the non-zero elements of  $\mathcal{ML}(S)$ , and  $\underline{\text{length}}_M(\mu) = 0$ , then each component of  $\mu$  is non-realizable in  $M$ .

**The end invariant  $\mathcal{E}(M)$ .** We make the following definition.

**DEFINITION 2.5.** *Let  $M \in \partial B_Y$  be a point in a Bers' boundary. Then its end invariant  $\mathcal{E}(M)$  is the union of all connected geodesic laminations  $\lambda$  such that for some  $\mu \in \mathcal{ML}(S)_+$  we have,*

$$\lambda = |\mu| \quad \text{and} \quad \underline{\text{length}}_M(\mu) = 0.$$

By a theorem of Thurston and Bonahon (the *geometric tameness* of  $M$  [Th1], [Bon1]),  $\mathcal{E}(M)$  lies in  $\mathcal{PL}(S)/|\cdot|$ ; i.e.  $\mathcal{E}(M)$  is itself a measurable geodesic lamination.

**Notation:** Throughout, the notation  $n \gg 0$  will mean ‘all  $n$  sufficiently large.’ Unless otherwise stated, constants will depend only on  $S$ .

### 3. Surjectivity onto measurable laminations that relatively fill

In this section, we reprise implications of compactness of pleated surfaces on the basic structure of  $\mathcal{E}(M)$  (this theory is developed in [Th1, Ch. 9]) and go on to give a characterization of laminations that arise in the image of  $\mathcal{E}$ .

**Decomposing laminations.** A *partition*  $P$  of  $S$  is a collection  $P \subset \mathcal{S}$  of distinct isotopy classes of pairwise-disjoint, essential, non-peripheral, simple closed curves on  $S$ . A *maximal partition* is a partition that cannot be enlarged. The partition  $P$

determines a collection of essential subsurfaces in its complement as the complement of pairwise embedded open annular neighborhoods of each curve in  $P$ . Let  $S - P$  denote their union, abusing notation.

Each measurable lamination  $\nu$  (i.e.  $\nu \in \mathcal{PL}(S)/|\cdot|$ ) admits a decomposition

$$\nu = P(\nu) \sqcup E(\nu)$$

where  $P(\nu) \subset \mathcal{S}$  is a partition, and each component of  $E(\nu)$  is infinite and *minimal*: each leaf of  $E(\nu)$  is bi-infinite and dense in its component. A general geodesic lamination  $\lambda$  decomposes into its maximal measurable sub-lamination  $\nu \subset \lambda$  and a finite collection of bi-infinite leaves each end of which is either asymptotic to  $\nu$  or to a puncture of  $S$  (see [Ota1, §A]).

The measurable lamination  $\nu$  *fills*  $S$  if for each  $\alpha \in \mathcal{S}$ , and any measure  $\mu \in \mathcal{ML}(S)$  with  $|\mu| = \nu$  we have either  $i(\mu, \alpha) > 0$  or  $\alpha$  is peripheral in  $S$ .

Generalizing, we make the following definition.

**DEFINITION 3.1.** *The measurable lamination  $\nu$  relatively fills  $S$  if for each component  $\nu' \subset E(\nu)$ ,  $\nu'$  fills the subsurface component of  $S - P(\nu)$  in which it lies.*

We define  $\mathcal{EL}(S) \subset \mathcal{PL}(S)/|\cdot|$  to be the subset of laminations that relatively fill  $S$ . Each measurable  $\nu$  has an *implicit partition*  $\widehat{P}(\nu)$ : this is the minimal partition containing  $P(\nu)$  so that  $E(\nu) \sqcup \widehat{P}(\nu)$  is a lamination that relatively fills  $S$ . There is a natural projection

$$\mathcal{PL}(S)/|\cdot| \rightarrow \mathcal{EL}(S) \quad \text{given by} \quad \nu \mapsto E(\nu) \sqcup \widehat{P}(\nu);$$

let  $\widehat{\nu} = E(\nu) \sqcup \widehat{P}(\nu)$  (see figure 1).

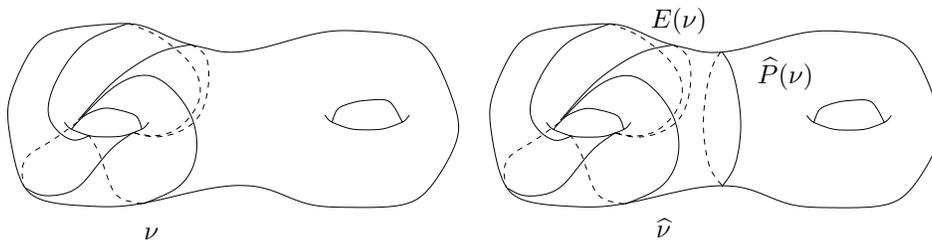


Figure 1. Adding the implicit partition  $\widehat{P}(\nu)$ .

In this section we prove the following:

**THEOREM 3.2.** *The map  $\mathcal{E}$  is a surjection onto  $\mathcal{EL}(S)$ .*

We first prove  $\mathcal{E}$  is well-defined as a map to  $\mathcal{EL}(S)$ .

**LEMMA 3.3.** *For any  $M \in \partial B_Y$ , the end-invariant  $\mathcal{E}(M)$  relatively fills  $S$ .*

**Proof:** Let  $(f: S \rightarrow M)$  be the implicit marking for  $M$ , and let  $\mathcal{E}(M) = P \sqcup E$  be the decomposition of  $\mathcal{E}(M)$  into its sets of parabolics  $P$  and infinite minimal components  $E$ . If  $\mathcal{E}(M)$  does not relatively fill  $S$ , then for some connected sub-lamination  $\nu \subset E$  lying in a connected component  $T$  of  $S - P$ , there is a simple closed curve  $\gamma \in \mathcal{S}(T)$  in the implicit partition for  $\nu$  that is non-peripheral in  $T$ . It follows that  $\gamma$  is not parabolic in  $M$  and is therefore realizable (see [Th1, §9.7], [CEG, Thm. 5.3.11]).

Let  $t_n c_n \rightarrow \mu$ , be a sequence of weighted simple closed curves converging to a measured lamination  $\mu$  with support  $\nu = |\mu|$  so that  $i(\gamma, c_n) = 0$ . There is a sequence of pleated surfaces  $(g_n, X_n) \in \mathcal{PS}_{\text{np}}(f|_T)$  realizing  $\gamma \cup c_n$ . Since  $(g_n, X_n)$  all realize  $\gamma$ , a subsequence converges to  $(g, X) \in \mathcal{PS}_{\text{np}}(f|_T)$  by theorem 2.1. By theorem 2.2, the limit realizes  $\nu$ , a contradiction. Thus  $\gamma$  either intersects  $\nu$  or lies in  $P$ , so  $\nu$  relatively fills  $S$ . ■

(A similar argument appears in [Br2, Thm. 4.7]).

**Proof:** (of theorem 3.2). Let  $\nu \in \mathcal{EL}(S)$ . Then there is a measured lamination  $\mu \in \mathcal{ML}(S)$  so that  $|\mu| = \nu$ . Let  $\Pi = P(\nu)$ , let  $E(\nu) = \nu_1 \sqcup \dots \sqcup \nu_k$ , and let

$$S - \Pi = S_1 \sqcup \dots \sqcup S_k \sqcup T_1 \sqcup \dots \sqcup T_s$$

denote the collection of subsurfaces of  $S$  determined up to isotopy as the complement of small pairwise embedded open annular neighborhoods of the curves in  $\Pi$ , so that  $\nu_j$  lies in  $\mathcal{GL}(S_j)$ ,  $j = 1, \dots, k$ . Let  $\mu_j \subset \mu$  denote the measured sub-lamination so that  $|\mu_j| = \nu_j$ .

For each  $j$ , let  $\{c_{j,n}\} \subset \mathcal{S}$  be simple closed curves in  $\mathcal{S}(S_j)$  so that for positive real weights  $t_{j,n}$  we have  $t_{j,n} c_{j,n} \rightarrow \mu_j$  as  $j \rightarrow \infty$ . Letting  $\mu_\Pi \subset \mu$  be the measure determined by  $\mu$  on  $\Pi$  (i.e.  $|\mu_\Pi| = \Pi$ ), the unions

$$\xi_n = \mu_\Pi \bigcup (\sqcup_{j=1}^k t_{j,n} c_{j,n})$$

are measured laminations so that  $\xi_n \rightarrow \mu$  in  $\mathcal{ML}(S)$ .

A maximal partition  $\mathcal{P}$  of  $S$  determines Fenchel-Nielsen length and twist coordinates

$$(\text{length}_\gamma(X), \text{twist}_\gamma(X)) \in \mathbb{R}_+^{\mathcal{P}} \times \mathbb{R}^{\mathcal{P}}$$

for  $X \in \text{Teich}(S)$ , where  $\gamma \in \mathcal{P}$  (see e.g. [IT]). Given a subset  $P \subset \mathcal{P}$ , the *pinching deformation* along  $P$  is the family of Riemann surfaces  $X_t \in \text{Teich}(S)$ ,  $t \rightarrow 0$ , determined by setting the coordinates

$$\text{length}_\gamma(X_t) = t \text{length}_\gamma(X)$$

for each  $\gamma \in P$  and leaving all other coordinates unchanged. Then the pinching deformation along  $P$  determines a path  $Q(X_t, Y)$  in  $B_Y$  that converges to a limit  $M \in \partial B_Y$  with  $\mathcal{E}(M) = P$  (see [Ab], [Mc6, Thm. 9.5]).

Let  $M_n \in \partial B_Y$  be obtained from the quasi-Fuchsian manifold  $Q(X, Y)$  by performing the pinching deformation along the collection

$$P_n = |\xi_n| = \Pi \bigcup (\sqcup_{j=1}^k c_{j,n})$$

on  $X$ . For given  $r$ , and for each  $M_n$  let  $W_n \in \text{Teich}(T_r)$  denote the corresponding conformal boundary component of  $M_n$ . With respect to a fixed maximal partition  $\mathcal{P}_T$  of  $\cup_r T_r$ , the Fenchel-Nielsen coordinates for  $W_n$  are the limiting Fenchel-Nielsen coordinates for  $X_t$  along  $\mathcal{P}_T \cap T_r$ . Hence, they do not depend on  $n$  and  $W_n$  is constant; we set  $W_n = W$ .

We have

$$\underline{\text{length}}_{M_n}(\xi_n) = 0$$

for all  $n$ . By continuity of length [Br1, Thm. 7.1], we have

$$\underline{\text{length}}_M(\mu) = 0.$$

Since  $\mu \in \mathcal{ML}(S)_+$ , it follows that each component of  $\mu$  is non-realizable in  $M$ . Thus  $\nu = |\mu|$  is a sub-lamination of  $\mathcal{E}(M)$ .

Let  $f: S \rightarrow M$  denote the implicit marking on  $M$ , and let  $\pi_1(T_r)$  denote the subgroup of  $\pi_1(S)$  induced by inclusion  $T_r \subset S$  after choosing a basepoint in  $T_r$ . Since  $\mathcal{E}(M)$  relatively fills  $S$  by lemma 3.3, to see that  $\nu = \mathcal{E}(M)$  it suffices to show that the cover  $\widetilde{M}(r)$  of  $M$  corresponding to  $f_*(\pi_1(T_r))$  is quasi-Fuchsian (every lamination is realizable in a quasi-Fuchsian manifold, see [Th1, Prop. 8.7.7] [CEG, Thm. 5.3.11]).

Let  $f_n: S \rightarrow M_n$  denote the implicit markings on  $M_n$ . For fixed  $r$ , the cover of  $M_n$  corresponding to  $(f_n)_*(\pi_1(T_r))$  is a quasi-Fuchsian manifold  $Q(W, Z_n) \in QF(T_r)$ . The cover  $\widetilde{Y}_r$  of  $Y$  corresponding to  $\pi_1(T_r)$  (which is no longer of finite type) admits a holomorphic inclusion into  $Z_n$ , which is a contraction of the Poincaré metric by the Schwarz lemma. Thus, there is a pair of simple closed curves  $\alpha$  and  $\beta$  in  $\mathcal{S}(T_r)$  that *bind*  $T_r$  (i.e.  $i(\alpha, \gamma) + i(\beta, \gamma) > 0$  for any  $\gamma \in \mathcal{S}(T_r)$ ) and have uniformly bounded length in  $Z_n$ . Such a bound guarantees that  $Z_n$  range in a compact subset of  $\text{Teich}(T_r)$  (see e.g. [Th4, Prop. 2.4] [Ker]) so  $Q(W, Z_n)$  converges to a quasi-Fuchsian manifold  $Q(W, Z_\infty)$ . Thus  $\widetilde{M}(r)$  is quasi-Fuchsian, since it is the limit of  $Q(W, Z_n)$ .

It follows that  $\nu = \mathcal{E}(M)$ , and the theorem is proven. ■

#### 4. Lower-semi-continuity

From now on, we view  $\mathcal{E}$  as a map from quasi-isometry classes  $[M] \in \partial B_Y/\text{qi}$  to the quotient  $\mathcal{EL}(S)$  of  $\mathcal{PL}(S)$  under the projection  $[\mu] \mapsto |\mu|$ . In this section we investigate the behavior of  $\mathcal{E}$  in the quotient topologies on domain and range.

**THEOREM 4.1.** *Let  $\dim_{\mathbb{C}}(\text{Teich}(S)) > 1$ . Then the mapping  $\mathcal{E}$  is strictly lower-semi-continuous in the quotient topologies.*

Again, ‘lower-semi-continuity’ has the interpretation:

$$(4.1) \text{ Given } [M_n] \rightarrow [M] \text{ any limit } \mathcal{E}_\infty \text{ of } \{\mathcal{E}([M_n])\} \text{ satisfies } \mathcal{E}_\infty \subset \mathcal{E}([M]),$$

and strict lower-semi-continuity means there exists  $M_n \rightarrow M$  for which the final containment is proper. As remarked, when  $\dim_{\mathbb{C}}(\text{Teich}(S)) = 1$ ,  $\mathcal{E}$  is a homeomorphism [Min3].

**Proof:** We first find a point of discontinuity for  $\mathcal{E}$  (to prove strict lower-semi-continuity). Since  $\dim_{\mathbb{C}}(\text{Teich}(S)) > 1$  we can find a pair of distinct isotopy classes  $\gamma$  and  $\delta$  in  $\mathcal{S}$  with  $i(\gamma, \delta) = 0$ . Let  $\mathcal{P} \subset \mathcal{S}$  be a maximal partition containing  $\delta$  and  $\gamma$ . Adjust the Fenchel-Nielsen coordinates of  $X \in \text{Teich}(S)$  along  $\mathcal{P}$  so that  $X_{m,n} \in \text{Teich}(S)$  has Fenchel-Nielsen coordinates

$$\text{length}_\delta(X_{m,n}) = 1/m \quad \text{and} \quad \text{length}_\gamma(X_{m,n}) = 1/n$$

and all other coordinates equal to those of  $X$ . Then, as above, the sequence  $\{Q(X_{m,n}, Y)\}_{m=1}^\infty$  converges to a limit  $M_n$  for which  $\mathcal{E}(M_n) = \gamma$ . Likewise, the sequence  $\{M_n\}_{n=1}^\infty$  converges to a limit  $M$  such that  $\mathcal{E}(M) = \delta \sqcup \gamma$ .

Just as a weakly convergent sequence of measures with constant support cannot converge to a measure with larger support, there is no sequence of transverse measures (weights) on the simple closed curve  $\gamma$  that converges in  $\mathcal{ML}(S)$  to a

transverse measure on  $\gamma \sqcup \delta$ . Hence the quasi-isometry class of  $M$  is a point of discontinuity of  $\mathcal{E}$  as a map to  $\mathcal{EL}(S)$  with the quotient topology.

To see that the map  $\mathcal{E}$  is lower-semi-continuous in the sense of line 4.1, note that for any convergent sequence  $M_n \rightarrow M$  in  $\partial B_Y$ , and any convergent sequence of measured laminations  $\mu_n \rightarrow \mu$  with  $|\mu_n| = \mathcal{E}(M_n)$ , we have

$$\underline{\text{length}}_{M_n}(\mu_n) = 0$$

for each  $n$ . Continuity of  $\underline{\text{length}}$  implies that  $\underline{\text{length}}_M(\mu) = 0$ , and we conclude

$$|\mu| \subset \mathcal{E}(M).$$

■

**Spinning maximal cusps.** We briefly give another example of discontinuity of  $\mathcal{E}$  in the quotient topologies. We do this to motivate a new topology on the range, which we introduce in the next section.

Let  $C \subset \mathcal{S}$  be a maximal partition. Then the *maximal cusp*  $M(C) \in \partial B_Y$  is the unique point for which  $\alpha$  is parabolic for each  $\alpha \in C$ . It is determined up to isometry by the collection  $C$  (see, e.g. [Bers2], [Mc2]).

As above, assume  $\dim_{\mathbb{C}}(\text{Teich}(S)) > 1$ , let  $C_0$  be a maximal partition for  $S$ , and let  $\gamma \sqcup \delta \subset \mathcal{S}$  be isotopy classes of disjoint simple closed curves so that  $i(\alpha, \gamma)$  and  $i(\alpha, \delta)$  are non-zero for each  $\alpha \in C_0$ .

Let  $\tau_\gamma$  and  $\tau_\delta$  be Dehn-twists about  $\gamma$  and  $\delta$  respectively, and let

$$C_n = \tau_\gamma^{n^2} \circ \tau_\delta^n(C_0),$$

where  $n \in \mathbb{N}$ . Consider any limit  $M$  of the sequence of maximal cusps  $\{M(C_n)\}_{n=0}^\infty$ .

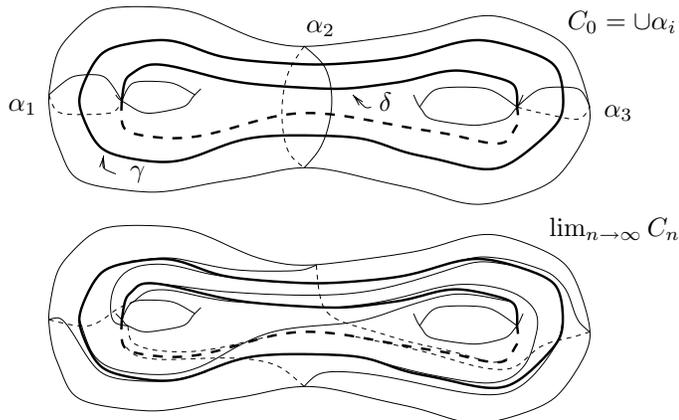


Figure 2. Spinning maximal cusps. The Hausdorff limit of  $C_n = \tau_\gamma^{n^2} \circ \tau_\delta^n(C_0)$  contains both  $\gamma$  and  $\delta$  as measurable sub-laminations.

Notice that

1. Any sequence  $\mu_n \in \mathcal{ML}(S)$  of measures (weights) on  $C_n$  has projective classes  $[\mu_n] \in \mathcal{PL}(S)$  converging to  $[1 \cdot \gamma]$ . Thus theorem 4.1 guarantees only that  $\gamma$  is parabolic in  $M$ .

2. One expects that *both* classes  $\gamma$  and  $\delta$  are parabolic in  $M$ .<sup>3</sup>

The topology on  $\mathcal{PL}(S)$  is insensitive to all but the maximal growth rate of transverse measure. Our goal in the next section will be to formulate a topology on  $\mathcal{EL}(S)$  called the *end-invariant topology* that is sensitive to different orders of convergence. Proving continuity of  $\mathcal{E}$  in the end-invariant topology, we capture more geometric information about general limits  $M$ .

## 5. Continuity in the end-invariant topology

DEFINITION 5.1. *The end-invariant topology on  $\mathcal{EL}(S)$  is the topology of convergence for which  $\nu_n \rightarrow \nu$  if for any Hausdorff limit  $\lambda_H$  of any subsequence  $\nu_{n_j}$ , the maximal measurable sub-lamination  $\eta \subset \lambda_H$  is a sub-lamination of  $\nu$ .*

Continuity in the end-invariant topology relies on uniform estimates for the shapes of *train tracks* in 3-manifolds.

DEFINITION 5.2. *A train track  $\tau$  in a hyperbolic surface  $X \in \text{Teich}(S)$  is an embedded 1-complex in  $X$  whose edges (branches) are  $C^1$  arcs meeting at vertices (switches) so that each switch  $v$  has a neighborhood  $U \subset X$  for which  $\tau \cap U$  is a collection of  $C^1$  arcs passing through with a common tangent line at  $v$ . We require in addition that the double of each component of  $X - \tau$  along the interiors of the branches in its boundary has negative Euler characteristic.*

A *train-path*  $r$  is a monotone  $C^1$  immersion  $r: \mathbb{R} \rightarrow X$  ( $r$  is “bi-infinite”) or  $r: S^1 \rightarrow X$  ( $r$  is “closed”) with image in  $\tau$ . A train track  $\tau$  on  $X$  carries a geodesic lamination  $\lambda$  if there is a  $C^1$  map  $p: X \rightarrow X$  that is homotopic to the identity and non-singular on the tangent spaces to the leaves of  $\lambda$  so that  $p$  sends each leaf of  $\lambda$  to a train-path for  $\tau$ . We say  $\tau$  *minimally carries*  $\lambda$  if for each branch  $b$  of  $\tau$ , there is a train-path corresponding to a leaf of  $\lambda$  that traverses  $b$ .

A train track  $\tau^*$  in a marked hyperbolic manifold  $(f: S \rightarrow M) \in AH(S)$  is a train track  $\tau$  on a hyperbolic surface  $(h: S \rightarrow X) \in \text{Teich}(S)$ , together with a marking-preserving smooth map  $g: X \rightarrow M$  so that  $g(\tau) = \tau^*$ . The surface  $X$  serves to mark the train track  $\tau^*$  with homotopy information: we say  $\tau^*$  carries  $\lambda$  if  $\tau$  does.

To make a train-track  $\tau$  carry more laminations, we may *enlarge*  $\tau$  by adding branches. For our purposes, we enlarge  $\tau$  by adding branches  $b$  each endpoint of which either terminates in a switch of  $\tau$  or attaches to a simple closed curve component of  $\tau$ .

Finally, a train track  $\tau$  in  $X$  (or in  $M$ ) is  $\epsilon$ -*nearly-straight* if each train path  $r$  is  $C^2$  with geodesic curvature less than  $\epsilon$ . An important property of nearly-straight train tracks is the following: for any  $\epsilon_0 \in (0, 1)$  there is a “tracking constant”  $C_{\text{tr}} > 1$  so that for any  $\epsilon \in (0, \epsilon_0)$  if  $\tau$  is an  $\epsilon$ -nearly-straight train track in  $X$  (resp.  $M$ ), any train path  $r$  lifts to an embedding  $\tilde{r}: \mathbb{R} \rightarrow \mathbf{PH}^2$  into the projective unit tangent bundle  $\mathbf{PH}^2$  of  $\mathbb{H}^2$  (resp.  $\mathbf{PH}^3$ ) that is smoothly homotopic to a complete geodesic by an isotopy that moves each point a distance less than  $C_{\text{tr}}\epsilon$ . Assume  $\epsilon_0 = 1/2$  and let  $C_{\text{tr}}$  be the corresponding tracking constant.

When a closed train-path on an  $\epsilon$ -nearly-straight train track is straightened to its geodesic representative, its arc-length does not decrease too much: there is a

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<sup>3</sup>This follows, for example, from the techniques of [KT] and [Br2] and a study of the *geometric limit* of  $M(C_n)$ ; we develop a point of view more closely aligned with the present techniques.

continuous *contraction bound*  $K: [0, 1) \rightarrow [1, \infty)$  with  $K(\epsilon) \rightarrow 1$  as  $\epsilon \rightarrow 0$  so that any arc  $\alpha \in \mathbb{H}^n$  of geodesic curvature less than  $\epsilon$  satisfies

$$(5.2) \quad \ell(\alpha^*) \geq \frac{1}{K(\epsilon)} \ell(\alpha)$$

where  $\alpha^*$  is the geodesic representative of  $\alpha$  *rel*-endpoints (see [Br1, §4] or [Min1] for more on nearly-straight train tracks)

We employ these ideas to prove the following:

**THEOREM 5.3.** *The mapping  $\mathcal{E}$  is a continuous surjection from the quotient topology on  $\partial B_Y/\text{qi}$  to  $\mathcal{EL}(S)$  with the end-invariant topology.*

**Proof:** We have shown surjectivity in theorem 3.2. It remains to show continuity in the end invariant topology.

Let  $M_n \rightarrow M$  in  $\partial B_Y$ . After passing to a subsequence, let  $\mathcal{E}(M_n) = \mathcal{E}_n$  tend to  $\lambda_H$  in the Hausdorff topology. For each  $n$ , let  $P_{j,n} \subset \mathcal{S}$  be as constructed in the proof of theorem 3.2 so that  $P_{j,n} \rightarrow \mathcal{E}_n$  in the Hausdorff topology as  $j \rightarrow \infty$ .

Arguing as in the proof of lemma 3.3, theorem 2.1 implies that given any compact set  $K \in M_n$ , there is a  $J$  so that for all  $j > J$  no curve in  $P_{j,n}$  has a geodesic representative intersecting  $K$ .

Let  $\nu$  be any connected, measurable sub-lamination of  $\lambda_H$ . Suppose that  $\nu$  is realizable in  $M$  by a pleated surface  $g: X \rightarrow M$ . Let  $K \subset M$  be a compact set containing the radius 1 neighborhood  $\mathcal{N}_1(g(\nu))$  of  $g(\nu)$ , the locally-isometric image of the geodesics in  $\nu$  under  $g$ . By algebraic convergence, there are smooth, marking-preserving homotopy equivalences  $q_n: M \rightarrow M_n$  that tend  $C^\infty$  to a local isometry on  $K$ . It follows that for any  $\delta > 0$ , each geodesic leaf  $l \subset \nu$  has image  $q_n(g(l))$  with geodesic curvature less than  $\delta$  for  $n \gg 0$ .

Therefore we may diagonalize as follows: there is a sequence  $j_n \rightarrow \infty$  so that  $P_{j_n, n} = P_n$  converges to  $\lambda_H$  in the Hausdorff topology, and so that no curve in  $P_n$  has geodesic representative intersecting the compact sets  $q_n(K)$  for  $n \gg 0$ .

After passing to a further subsequence, there are curves  $c_n \in P_n$  that converge in the Hausdorff topology to a lamination  $\lambda'$  so that  $\nu \subset \lambda'$ . Applying the construction of nearly-straight train tracks in [Br1, Lem. 5.2, Cor. 5.3], there is a uniform  $C$  depending only on  $S$  and the injectivity radius along the image  $g(\nu)$  of  $\nu$  in  $M$  for which the following holds: for any  $\epsilon > 0$

1. there exists an  $\epsilon$ -nearly-straight train track  $\tau \subset M$  carrying  $\nu$ , and
2.  $\tau$  admits an enlargement  $\tau_n$  that minimally carries  $c_n$  with a  $C\epsilon$ -nearly-straight realization  $\tau_n^*$  in  $M_n$  for  $n \gg 0$ .

Choosing  $\epsilon$  and  $\delta$  sufficiently small, then, for  $n \gg 0$ , both the image  $q_n(g(\nu))$  and the train track  $\tau_n^*$  lie close to the realization of  $\nu$  in  $M_n$  and hence close to each other: precisely,  $q_n(g(\nu))$  lies within  $C_{\text{tr}}(C\epsilon + \delta)$  of  $\tau_n^*$ , since  $\tau_n^*$  carries  $\nu$ . As  $\tau_n^*$  also carries  $c_n$ , and  $\tau_n^*$  is nearly-straight,  $c_n$  is realizable in  $M_n$  with geodesic representative  $c_n^*$ . Indeed,  $c_n^*$  lies within  $C_{\text{tr}}C\epsilon$  of  $\tau_n^*$  and thus within  $C_{\text{tr}}(2C\epsilon + \delta)$  of  $q_n(g(\nu))$ . We have a contradiction, since either  $c_n$  is non-realizable, or its geodesic representative  $c_n^*$  lies outside  $q_n(K)$  for all  $n$  sufficiently large.

The contradiction implies that  $\nu$  is not realizable in  $M$ , and hence  $\nu \subset \mathcal{E}(M)$ . ■

### 6. Convergence in Bers' compactification

The above methods bear on the question of how the divergent surfaces  $X_n \in \text{Teich}(S)$  for which  $Q(X_n, Y) \rightarrow M \in \partial B_Y$  and the quotient manifolds  $M_n = Q(X_n, Y)$  determine the end invariant  $\mathcal{E}(M)$  of their limit in Bers' boundary.

A direct consequence of theorem 4.1 is the following:

**THEOREM 6.1.** *Let  $X_n \rightarrow [\mu]$  in Thurston's boundary  $\mathcal{PL}(S)$  for  $\text{Teich}(S)$ . Then for any limit  $M \in \partial B_Y$  of  $\{Q(X_n, Y)\}$ , we have  $|\mu| \subset \mathcal{E}(M)$ .*

**Proof:** In [Th5], Thurston constructs measured laminations  $\mu_n$  so that  $\mu_n \rightarrow \mu$  in  $\mathcal{ML}(S)$ , and  $\text{length}_{X_n}(\mu_n) \rightarrow 0$ . The theorem follows from an application of theorem 4.1. ■

As with maximal cusps, however, the support  $|\mu|$  of the limit lamination  $[\mu] \in \mathcal{PL}(S)$  is often a small piece of  $\mathcal{E}(M)$ . We now formulate a construction to obtain partitions  $\Pi(M_n)$  of  $S$  using the limiting geometry of  $M_n$  so that  $\Pi(M_n)$  converge to  $\mathcal{E}(M)$  in the end-invariant topology. We remark that various such constructions are possible, requiring various levels of detail. We present a simple one.

**Constructing partitions.** By a theorem of Bers (see [Bus, Thm. 5.2.6]), there is a uniform constant  $B > 0$  depending only on  $S$  so that any given  $X \in \text{Teich}(S)$  admits a maximal partition  $\Pi$  all of whose elements  $\gamma$  satisfy

$$\text{length}_X(\gamma) < B.$$

Consider a sequence  $M_n = Q(X_n, Y)$  converging to  $M \in \partial B_Y$ , and consider the set  $\mathcal{B}_n \subset \mathcal{S}$  consisting of curves of length less than  $B$  on  $X_n$ . For each  $n$ , let  $\beta_n^1$  denote an element of  $\mathcal{B}_n$  that minimizes the ratio

$$\frac{\text{length}_{M_n}(\beta)}{\text{length}_Y(\beta)}$$

over all elements  $\beta \in \mathcal{B}_n$ . Continuing inductively, let  $\beta_n^k$  be an element of

$$\mathcal{B}_n \cap \mathcal{S}(S - \beta_n^1 \sqcup \dots \sqcup \beta_n^{k-1})$$

that minimizes the above ratio.

Let  $k_0$  denote the maximal  $k$  for which the ratio

$$\frac{\text{length}_{M_n}(\beta_n^k)}{\text{length}_Y(\beta_n^k)} \rightarrow 0,$$

and let

$$\Pi(M_n) = \beta_n^1 \sqcup \dots \sqcup \beta_n^{k_0}.$$

Then we have the following.

**THEOREM 6.2.** *Let  $X_n \rightarrow \infty$  in  $\text{Teich}(S)$  determine quasi-Fuchsian manifolds  $M_n = Q(X_n, Y) \rightarrow M$  in  $\partial B_Y$ . Then the partitions  $\Pi(M_n)$  converge to  $\mathcal{E}(M)$  in the end-invariant topology.*

**Proof:** Consider a Hausdorff limit  $\lambda_H$  of  $\Pi(M_n)$ . If  $\alpha \in \mathcal{S}$  is an isolated simple closed curve in  $\lambda_H$ , then  $\alpha$  lies in infinitely many  $\Pi(M_n)$  so we have

$$\inf_n \{\text{length}_{M_n}(\alpha)\} = 0.$$

Hence  $\alpha \subset \mathcal{E}(M)$ , by theorem 2.3.

For any other measurable sublamination  $\nu \subset \lambda_H$  there is a sequence  $c_n \in \Pi(M_n)$  so that  $\text{length}_Y(c_n) \rightarrow \infty$  and  $\nu$  lies in the Hausdorff limit of  $c_n$  after passing to a subsequence. Assume  $\nu$  is realizable in  $M$ . As in the proof of theorem 5.3, there is an  $\epsilon$ -nearly-straight train track  $\tau \subset M$  carrying  $\nu$ , and a uniform  $C > 1$  so that  $\tau$  admits enlargements  $\tau_n$  minimally carrying  $c_n$  with  $C\epsilon$ -nearly-straight realizations  $\tau_n^*$  in  $M_n$ , for  $n \gg 0$ .

Given a branch  $b$  of  $\tau_n$ , let  $m_b(c_n)$  be the weight  $c_n$  assigns to  $b$ ; i.e. the number of times  $c_n$  traverses  $b$ . Then by [Br1, Cor. 5.3] given any  $b \in \tau$ , the weight  $m_b(c_n)$  grows without bound. Since the total length  $\ell_{\tau_n^*}(c_n)$  of the train-path homotopic to  $c_n$  on  $\tau_n^*$  satisfies

$$\text{length}_{M_n}(c_n) \geq \frac{1}{K(C\epsilon)} \ell_{\tau_n^*}(c_n),$$

where  $K(C\epsilon)$  is the contraction bound of equation 5.2 of §5 (see also [Br1, §4]), it follows that  $\text{length}_{M_n}(c_n)$  diverges.

Since, however, we have

$$\text{length}_{M_n}(c_n) \leq 2\text{length}_{X_n}(c_n),$$

by [Bers2, Thm. 3] or [Mc1, Prop. 6.4], it follows that  $\text{length}_{M_n}(c_n) < 2B$ , contradicting the divergence of  $\text{length}_{M_n}(c_n)$ . Thus  $\nu$  is non-realizable, and therefore  $\nu$  lies in  $\mathcal{E}(M)$ . ■

**Convergence to the boundary in  $\overline{B_Y}$ .** We unify these two perspectives on  $\mathcal{E}(M)$  as follows. Given  $M \in \partial B_Y$ , the conformal boundary  $\partial M - Y$  is a (possibly empty) union  $X$  of hyperbolic surfaces. Given any sequence  $M_n \in \overline{B_Y}$  converging to  $M$ , let  $X_n = \partial M_n - Y$ . We construct partitions  $\Pi(M_n)$  of  $X_n$ , exactly as above: Choose pairwise disjoint curves  $\beta_n^1, \dots, \beta_n^{k_0}$  from the set  $\mathcal{B}_n \subset \mathcal{S}(X_n)$  of curves of length less than  $B$  on  $X_n$  so that each  $\beta_n^k$  minimizes the ratio

$$\frac{\text{length}_{M_n}(\beta)}{\text{length}_Y(\beta)}$$

over all  $\beta \in \mathcal{B}_n \cap \mathcal{S}(X_n - \beta_n^1 \sqcup \dots \sqcup \beta_n^{k_0-1})$  and so that we have

$$\frac{\text{length}_{M_n}(\beta_n^{k_0})}{\text{length}_Y(\beta_n^{k_0})} \rightarrow 0.$$

Then the resulting union  $\mathcal{E}(M_n) \sqcup \Pi(M_n)$  is a geodesic lamination on  $S$ .

**COROLLARY 6.3.** *The laminations  $\mathcal{E}(M_n) \sqcup \Pi(M_n)$  converge to  $\mathcal{E}(M)$  in the end-invariant topology.*

**Proof:** Pass to a subsequence so that  $\mathcal{E}(M_n) \sqcup \Pi(M_n)$  converges to  $\lambda_H$  in the Hausdorff topology. Then for any connected measurable sub-lamination  $\nu \subset \lambda_H$ , there is a further subsequence so that  $\nu$  lies either in the Hausdorff limit of the partition  $\Pi(M_n)$  or the laminations  $\mathcal{E}(M_n)$ . It follows from theorems 2.3 and the proof of theorem 6.2 that  $\nu$  lies in  $\mathcal{E}(M)$ . ■

### 7. The failure of the Hausdorff topology to predict the end-invariant

In this section we address the questions of whether the  $\mathcal{E}$  can have a continuous inverse in the end-invariant topology, and whether limiting values of  $\mathcal{E}$  give a complete description of the end-invariant.

The inverse  $\mathcal{E}^{-1}$  is known to be well defined on points  $|\mu|$  of  $\mathcal{P}\mathcal{L}(S)/|\cdot|$  for which  $|\mu|$  is a collection of simple closed curves; each  $M$  for which  $\mathcal{E}(M) = |\mu|$  is quasi-isometrically unique ( $M$  is a geometrically finite cusp).<sup>4</sup> In the end-invariant topology, there are abundant discontinuities of  $\mathcal{E}^{-1}$  on this set arising from approximation by maximal cusps. For example, given a single simple closed curve  $\gamma \in \mathcal{S}$  and an  $M$  for which  $\mathcal{E}(M) = \gamma$ , there are maximal cusps  $M(C_n)$  converging to  $M$  by the main result of [Mc2]. By theorem 5.3 any Hausdorff limit of  $C_n$  has  $\gamma$  as its unique measurable sub-lamination. In the end-invariant topology, however, any measurable lamination  $\lambda$  containing  $\gamma$  is a limit of  $C_n$ , and when  $\dim_{\mathbb{C}}(\text{Teich}(S)) > 1$  there are infinitely many such  $\lambda$ . In this case, then,  $\gamma$  is necessarily a point of discontinuity for  $\mathcal{E}^{-1}$  in the end-invariant topology.

In the setting of convergent maximal cusps  $M(C_n) \rightarrow M$ , where  $\mathcal{E}(M(C_n))$  cannot be enlarged, it is natural to ask whether the maximal measurable sub-lamination  $\nu$  of any Hausdorff limit of  $\{C_n\}$  gives a complete picture of the end-invariant  $\mathcal{E}(M)$ . If  $C_n$  converges in the Hausdorff topology to a lamination that does not relatively fill (such examples are easy to arrange), lemma 3.3 shows that at the very least one must enlarge  $\nu$  to the lamination  $\hat{\nu}$  (by adding any missing curves in its implicit partition) to hope for the equality  $\hat{\nu} = \mathcal{E}(M)$ .

We conclude this paper with an example that shows that adding the implicit partition for  $\nu$  is not in general enough to obtain this equality: new parabolics can arise that are neither contained nor implicit in  $\nu$ .

**THEOREM 7.1. IMPLICIT CUSPS** *Let  $\dim_{\mathbb{C}}(\text{Teich}(S)) > 1$ , and let  $\gamma$  lie in  $\mathcal{S}$ . Then for any  $\alpha$  in  $\mathcal{S}(S-\gamma)$ , there are maximal partitions  $C_n \rightarrow \lambda_H$  in the Hausdorff topology and associated maximal cusps  $M(C_n) \rightarrow M$  in  $\partial B_Y$  for which:*

1.  $\gamma$  is the maximal measurable sub-lamination of  $\lambda_H$ , and
2.  $\alpha$  lies in  $\mathcal{E}(M)$ .

**Proof:** By the assumption that  $\dim_{\mathbb{C}}(\text{Teich}(S)) > 1$ , there are infinitely many  $\alpha$  satisfying the hypotheses.

We construct the sequence of maximal partitions  $C_n$  as follows. Let  $\varphi \in \text{Mod}(S)$  be a mapping class so that

1.  $\varphi$  fixes  $\alpha$ ,
2.  $\varphi$  restricts to a pseudo-Anosov mapping class on the closure of the component  $T$  of  $S - \alpha$  containing  $\gamma$
3.  $\varphi$  is the identity otherwise

(see [FLP, Exp. 9], [Th3], [Br2]). Let  $\tau_{\gamma} \in \text{Mod}(S)$  be a Dehn twist about the curve  $\gamma$ . Let  $P_0$  be a maximal partition, all of whose elements cross  $\alpha$ . Let  $\varphi^k(P_0) = P_k$ . By assigning weight 1 to each element of  $P_k$  we obtain a sequence  $\{[P_k]\} \subset \mathcal{P}\mathcal{L}(S)$ , that converges to a limit  $[\mu_{\infty}]$  after passing to a subsequence.

Let  $\mu^u \in \mathcal{M}\mathcal{L}(S)$  denote the unstable lamination for the pseudo-Anosov restriction of  $\varphi$  to  $T$ ; i.e.  $\mu^u$  is the unique measured lamination for which  $\varphi(\mu^u) = c\mu^u$

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<sup>4</sup>Y. Minsky recently announced  $\mathcal{E}^{-1}$  is well defined on laminations of *bounded type* [Min4].

with  $c > 1$ . Noting that

$$i(\mu^u, \varphi^k(P_0)) = i(\varphi^{-k}(\mu^u), P_0) = \frac{i(\mu^u, P_0)}{c^k},$$

it follows from continuity of  $i(\cdot, \cdot)$  (see [**Bon1**, Prop. 4.5]) that  $i(\mu^u, \mu_\infty) = 0$ .

Let  $\lambda$  be a Hausdorff limit of a subsequence of  $P_k$ . If  $\alpha$  separates  $S$ , then let  $T' = \overline{S - T}$ . Then  $\varphi(\beta) = \beta$  for each  $\beta \in \mathcal{S}(T')$ , so  $i(\beta, P_k)$  does not depend on  $k$  (and is therefore bounded). Thus,  $\lambda$  contains no measurable sub-lamination  $\eta$  for which  $\eta = |\mu'|$  and  $\mu' \in \mathcal{ML}(T')$ .

Hence, either  $[\mu_\infty] = [\mu^u]$  or  $\alpha$  is a sub-lamination of  $\mu_\infty$ . We wish to avoid this possibility, so we adjust each  $P_k$  by the power  $m_k \in \mathbb{Z}$  of an  $\alpha$ -Dehn twist  $\tau_\alpha$  for which the total length of

$$P'_k = \tau_\alpha^{m_k}(P_k)$$

on  $Y$  is minimized. It follows that the curves in  $P'_k$  and  $\alpha$  realized as geodesics on  $Y$  intersect with angle uniformly bounded away from 0.

For any  $\beta \in \mathcal{S}(S - \alpha)$  we have  $i(\beta, P'_k) = i(\beta, P_k)$ , so the above intersection number arguments apply to  $P'_k$ : after passing to perhaps further subsequences, we have  $[P'_k] \rightarrow [\mu^u]$  in  $\mathcal{PL}(S)$  and  $P'_k$  converge as geodesic laminations to a Hausdorff limit  $\lambda'$  with maximal measurable sublamination  $|\mu^u|$  (see figure 3).

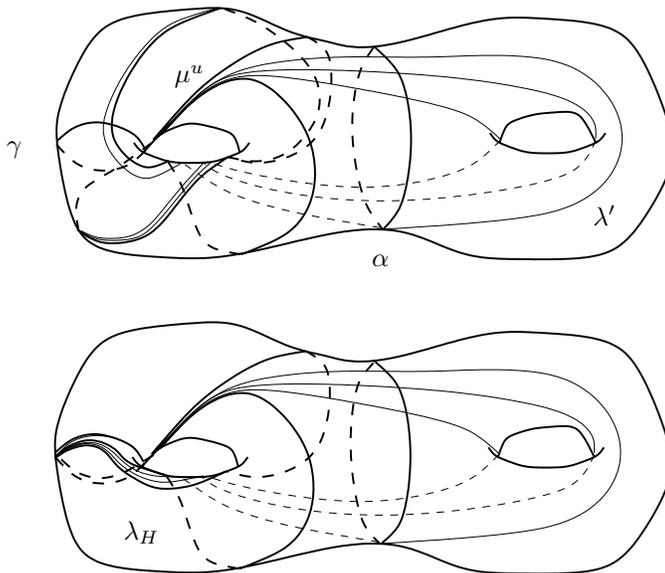


Figure 3. An implicit cusp:  $\mathcal{E}(M) = \gamma \sqcup \alpha$ , but  $\alpha$  does not lie in  $\lambda_H$ .

Now consider the action of the Dehn twist  $\tau_\gamma$  on  $\lambda'$ . Since  $i(\mu^u, \gamma) > 0$  and every leaf of  $|\mu^u|$  is dense in  $|\mu^u|$ , every leaf of  $|\mu^u|$  crosses  $\gamma$  infinitely in each direction. Each leaf of  $\lambda'$  is either a leaf of  $|\mu^u|$  or asymptotic to leaves of  $|\mu^u|$  in each direction, so every leaf of  $\lambda'$  crosses  $\gamma$  infinitely often in each direction. The Hausdorff limit  $\lambda_H$  of  $\{\tau_\gamma^n(\lambda')\}_{n=1}^\infty$  consists of  $\gamma$  together with a finite number of pairwise disjoint bi-infinite geodesics that spiral into  $\gamma$  from either side (figure 3).

Thus,  $\gamma$  is the only measurable sub-lamination of  $\lambda_H$ , and  $\lambda_H$  crosses the simple closed curve  $\alpha$  transversely (again, as geodesics on  $Y$ ). Diagonalizing, for each  $n$  we

choose  $k_n$  so that  $\tau_\gamma^n(P'_{k_n})$  converges to  $\lambda_H$  in the Hausdorff topology as  $n \rightarrow \infty$ . Let

$$C_n = \tau_\gamma^n(P'_{k_n}).$$

We claim that by enlarging  $k_n$  further we may guarantee that the maximal cusps  $M_n = M(C_n) \in \partial B_Y$  satisfy

$$(7.3) \quad \underline{\text{length}}_{M_n}(\alpha) < \frac{1}{n}.$$

To see this, note that if we let  $k$  tend to  $\infty$  with  $n$  fixed, the maximal cusps  $\{M(\tau_\gamma^n(P'_k))\}_{k=1}^\infty$  converge up to subsequence to a limit  $M_\infty(n) \in \partial B_Y$  with the property that

$$|\tau_\gamma^n(\mu^u)| \subset \mathcal{E}(M_\infty(n)).$$

Since for each  $n$  the implicit partition  $\widehat{P}(|\tau_\gamma^n(\mu^u)|)$  of  $|\tau_\gamma^n(\mu^u)|$  is the single simple closed curve  $\alpha$ , lemma 3.3 guarantees that  $\alpha$  lies in  $\mathcal{E}(M_\infty(n))$ . Thus,  $\alpha$  is parabolic in  $M_\infty(n)$ , so the claim (inequality 7.3) follows by continuity of length (theorem 2.3).

Applying theorem 2.3 once again, we have that  $\alpha$  is parabolic in  $M$ . ■

**A concluding remark:** The reader familiar with geometric or *Gromov-Hausdorff* convergence of hyperbolic manifolds will recognize the similarity of the above example to the main example of [KT, §3] and others like it (cf. [Br2]). In the case above, the geometric limit  $M_G$  covered by  $M$  has a *degenerate end* that forces an implicit cusp at  $\alpha$ , as well as a rank-two cusp with core-curve  $\gamma$ . The parabolic  $\alpha$  lifts to  $M$  while the cusp at  $\gamma$  provides an obstruction to lifting the degenerate end. It would seem that a complete understanding of how values of  $\mathcal{E}$  vary on Bers boundary depends, like many issues in the deformation theory, on developing a better understanding of the full spectrum of possible geometric limits of sequences  $\{M_n\} \subset \partial B_Y$ .

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